

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

10/748,886

Confirmation No. 1408

**Applicant** 

N. KASAKO et al

Filed

December 30, 2003

Title

REMOTE STORAGE DISK CONTROL DEVICE AND METHOD

FOR CONTROLLING THE SAME

TC/AU

TBD

Examiner

**TBD** 

Docket No.

H-1215

Customer No.:

24956

Commissioner for Patents Mail Stop DD P.O. Box 1450 Alexandria, VA 22313-1450

# <u>INFORMATION DISCLOSURE STATEMENT (IDS)</u> <u>UNDER § 1.97 AND § 1.98</u>

Sir:

#### 1. This IDS should be considered:

- (a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;
- (b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a Credit Card Payment Form in the amount of \$180.00 is enclosed, or if not see section 5 below);
- (c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a Credit Card

Appl. No. 10/748,886 Information Disclosure Statement dated December 30, 2005

Payment Form in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).

- 2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.
- 3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed when needed.
- 4. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.
- 5. No explanation of relevancy is being provided for the following document(s) because each is either in the English language, discussed in the present Specification, or its relevance is as stated in a communication from a foreign patent office in a counterpart foreign application.
- 6. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,

MATTINGLY\_STANGER, MALUR & BRUNDIDGE, P.C.

Shrinath Malur

Reg. No. 34,663 (703) 684-1120

EXAMINER	DATE CONSIDERED
EVALUED.	TO COO. Describes the super citation if out in conformance and not considered. Include may of this form with

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

TRANSLATION

FORM PTO-1449 (REV. 7-80)

S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. PATENT AND TRADEMARK OFFICE H-1215

SERIAL NO. 10/748,886

LIST OF DOCUMENTS CITED BY APPLICANT

DOCUMENT

DATE

(Use several sheets if necessary)

APPLICANT N. KASAKO et al GROUP FILING DATE December 30, 2003

CLASS

SUBCLASS

#### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
	AA	2003/ 093597	05/15/03	Marik et al			·
	AB	6,529,976	03/04/03	Fukuzawa et al			
	AÇ	6,529,944	03/04/03	Lecrone, Douglas			
	AD	6,247,103	06/12/01	Kern et al			
•	ΑE	6,173,374	01/09/01	Heil et al			
	AF	6,654,830	11/25/03	Taylor et al			
	AG	2003/ 0212854	11/13/03	Kitamura et al			
-"	АН	6,640,278	10/28/03	Nolan et al			
	Al	6,553,408	04/22/03	Merrel et al			
	AJ	6,446,141	09/03/02	Nolan et al			
	AK	2002/ 0029326	03/07/02	Reuter et al	,		
				FOREIGN PATENT DOCUMENTS			

COUNTRY

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	AL	0869438	10/07/98	EP		
	АМ	09-288547	04/11/97	JP (Abstract only)		
	AN	11-184641	07/09/99	JP (Abstract only)		
	AO	97-09676	03/13/97	WO		
		1130514	09/05/01	EP		

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AR	"Introduction to Storage Pooling, Consolidation Storage Resources with
	DataCore SANsymphony Software", White Paper, DataCore Software, updaged July 2003, pp. 1-8.
AS	"SAN Virtualization Guidelines", DataCore Software Corporation, 2000,
	pp. 1-9.
AT	Sarkar et al, "Internet Protocol storage area networks" IBM Systems Journal,
	2003, Vol. 42, No. 2, pp. 218-231.

**EXAMINER** 

DATE CONSIDERED

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with

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	AA	6,883,064	04/19/05	Yoshida et al					
	АВ	2005/ 0081009	04/14/05	Williams et al					
	AC	6,851,020	02/01/05	Matsumoto et a	11				
	AD	2005/ 0010743	01/13/05	Tremblay et al	L				
	AE	2004/ 0260875	12/23/04	Murotani et al					
	AF	2004/ 0260966	12/23/04	Kaiya et al					
	AG	6,826,778	11/30/04	Bopardikar et	al				
	АН	2004/ 0230980	11/18/04	Koyama et al					
	Al	6,816,948	11/09/04	Kitamura et al					
	AJ	6,779,255	09/28/04	Blumenau					
	AK	2004/ 0172510	09/02/04	Nagashima et a	11	<u> </u>			
				FOREIGN PATE	NT DOCUMENTS				
		DOCUMENT	DATE	C	OUNTRY	CLAS	SS SUBCLASS	TRANS YES	NO NO
	AL	2002/ 230246	08/16/02	JP (with Engli	sh Abstract)				
	АМ	2002/ 157091	05/31/02	JP (with Engli	sh Abstract)			$\boxtimes$	
	AN	2001/ 067187	03/16/01	JP (with Engli	sh Abstract)				
	AO	2000/ 293317	10/20/00	JP (with Engli	sh Abstract)			$\boxtimes$	
	AP	10-283272	10/23/98	JP (with Engli	sh Abstract)			$\boxtimes$	
			OTHER DOCUM	MENTS (Including Aut	thor, Title, Date, Pertinent F	Pages, et	tc.)		
	AR	SICOLA	"SCSI-3 Fa	ult Tolerant C	ontroller Configur	ations	s", T10 Tech	nical	
					Committee on Infor able at http://ww.				
	AS	"Netwo	rk Attached	Storage", Pro	duct White Paper,	Feb. 2	2002,		
		Sony E	lectronics	Inc., (2002).					
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* EXAMINER INITIAL		DOCUMENT	DATE		NAME	CLASS	SUBCLASS		DATE opriate)
	AA	2004/	07-29-04	Achiwa					
	АВ	2004/ 0143832	07/22/04	Yamamoto et al					
	AC	2004/ 0123180	06/24/04	Soejima et al					
	AD	6,745,281	06/01/04	Saegusa					
	AE	2004/ 0054850	03/18/04	Fisk et al					
	AF	6,708,232	03/16/04	Obara					
	AG	2004/ 0049553	03/11/04	Iwamura et al					
	АН	6,684,310	01/27/04	Anzai et al					
	At	6,681,303	01/20/04	Watabe et al					
	AJ	2004/ 0003022	01/01/04	Garrison et al					-
	AK	6,647,476	11/11/03	Nagasawa et al	L				
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		Anders	on et al,	"Hippodrome: Ru	nning Circles Aro	und Storag	ge Adminis	sratio	on",
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				U.S. PATENT	DOCUMENTS						
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	AA	2003/ 0204597	10/30/03	Arakawa et al							
	АВ	6,640,291	10/28/03	Fujibayashi							
	AC	2003/ 0182525	09/25/03	O'Connell							
	AD	2003/ 0145169	07/31/03	Nagasawa					.,		
	AE	6,598,134	07/22/03	Ofek et al							
	AF	2003/ 0126327_	07/03/03	Pesola et al					-		
	AG	6,523,096	02/18/03	Sanada et al							
	АН	2002/ 1094523	12/19/02	Ulrich et al							
	Ai	6,484,173	11/19/02	O'Hare et al							
	AJ	2002/ 0156887	10/24/02	Hashimoto							
	AK	6,457,139	09/24/02	D'Errico et a	1						
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				U.S. PATENT	DOCUMENTS				
* EXAMINER INITIAL		DOCUMENT	DATE		NAME	CLASS	SUBCLASS	FILING (If Appn	
	AA	6,356,977	03/12/02	Ofek et al					
	АВ	2002/ 0004857	01/10/02	Arakawa et al					
	AC	2001/ 0052018	12/13/01	Yokokura					
	AD	6,240,486	05/29/01	Ofek et al		<u></u>			
	ΑE	6,240,494	05/29/01	Nagasawa et al	L				
	AF	6,230,239	05/08/01	Sakaki et al					
	AG	6,219,753	04/17/01	Richardson					
	АН	2002/ 0026558	02/28/02	Reuter et al					
	AI	2002/ 0019920	02/14/02	Reuter et al					
	AJ	2002/ 0019922	02/14/02	Reuter et al					
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* EXAMINER INITIAL		DOCUMENT	DATE		NAME	CLASS	SUBCLASS		DATE opriate)
	AA	5,978,890	11/02/99	Ozawa et al					
	АВ	5,956,750	09/21/99	Yamamoto et	al				
	AC	5,835,954	11/10/98	Duyanovich e	t al				
	AD	5,758,118	05/26/98	Choy et al					
	AE	5,680,640	10/21/97	Ofek et al					
	AF	5,548,712	08/20/96	Larson et al		ļ			
	AG	5,480,465	01/18/95	Gusella et a	1				
	АН	4,025,904	05/24/77	Adney et al					
	AI	3,771,137	11/06/73	Barner et al					
	AJ	2004/ 0064610	04/01/04	Fukuzawa et	al				
	AK	2004/	04/01/04	Kodama					
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				U.S. PATENT	DOCUMENTS					
* EXAMINER INITIAL		DOCUMENT	DATE		NAME	CLASS	SUBCLASS	FILING (If Appr	DATE opriate)	
	AA	6,681,339	01/20/04	McKean et al						
	АВ	6,675,258	01/06/04	Bramhall et al	1					
	AC	6,654,831	11/25/03	Otterness et a	al					
	AD	2003/ 0212860	11/13/03	Jiang et al						
	AE	6,647,474	11/11/03	Yanai et al						
	AF	2003/ 0200387	10/23/03	Urabe et al						
	AG	2003/ 0167419	09/04/03	Yanai et al						
	АН	2003/ 0158999	08/21/03	Hauck et al						
	AI	2003/ 0145168	07/31/03	LeCrone et al						
	AJ	2002/ 0115432	06/19/03	Biessener et a	al					
	AK	2003/ 0101228	05/29/03	Busser et al						
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	AA	2003/ 0097607	05/22/03	Bessire					
	АВ	2003/ 0056038	03/20/03	Cochran					
	AC	2003/ 0051109	03/13/03	Cochran					
	AD	2003/ 0037071	02/20/03	Harris et al					
	ΑE	6,490,659	12/03/02	McKean et al				***	
	AF	2002/ 0133735	09/19/02	McKean et al					
	AG	6,446,175	09/03/02	West et al					
	АН	6,247,099	06/12/01	Skazinski et a	1				
	AI	6,108,748	08/22/00	Ofek et al					
	AJ	6,012,123	01/04/00	Pecone et al					
	AK	5,917,723	06/29/99	Binford					
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	AC	5,680,580	10/21/97	Beardsley et	al				
	AD	5,504,882	04/02/96	Chai et al					
	ΑE	5,459,857	10/17/95	Ludlam et al	· · · · · · · · · · · · · · · · · · ·				
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